


BAKER BOTTS LLP

Please type a plus sign (+) inside this box → 

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/787,168
Filing Date	September 30, 1998
First Named Inventor	Benes et al.
Group Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	A31978-PCT/USA

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts under 37 USC 371 | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Combined Declaration and Power of Attorney |
|---|--|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Henry Tang
PTO Reg: 29,705

Date

11/13/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/13/2001

Typed or printed name

Henry Tang

Signature

Date 11/13/2001

BAKER BOTTS LLP

Attorney Docket Number: A31978-PCT/USA

Title: VARIABLE-LENGTH, HIGH-SPEED ASYNCHRONOUS DECODER CIRCUIT

Use Space Below for Additional Information:

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 265

Complete if Known

Application Number	09/787,168
Filing Date	September 30, 1998
First Named Inventor	Benes et al.
Examiner Name	not yet assigned
Group Art Unit	not yet assigned
Attorney Docket No.	A31978-PCT/USA

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377
Deposit Account Name: Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

Total Claims: 20 ** = 0 x Fee from below = 0
Independent Claims: 3 ** = 0 x Fee from below = 0
Multiple Dependent Claims: 0 x Fee from below = 0

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	200
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 265

SUBMITTED BY

Name (Print/Type) Henry Tang

Signature

Henry Tang

Registration No. (Attorney/Agent)

29,705

Complete (if applicable)

Telephone (212) 408-2586

Date 11/13/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787168	BENES M	A31978-PCT U
INTERNATIONAL APPLICATION NO.		
PCT/US98/20397		
I.A. FILING DATE	PRIORITY DATE	
30 SEP 98	15 SEP 98	

HENRY TANG
BAKER BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112 0228

DATE MAILED: 26 JUL 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|---|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventor(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any please forward a copy. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐
- U.S. Basic National Fee.
- ☐
- Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

- Enclosed:
- ☐
- PCT/DO/EO/917
- ☐
- Notice of Defective Translation
-
- ☐
- PTO-875
- ☐
- PCT/DO/EO/920

Charita A. Burt, Paralegal

Telephone: 703-305-3734

FORM PCT/DO/EO/905 (March 2001)

Docketed

9/26/2001 By

1/26/02

DT20 Rec'd PCT/PTO 21 JAN 2003 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin Benes et al.

Serial No.: 09/787,168

Filed : March 14, 2001

For : VARIABLE-LENGTH, HIGH-SPEED ASYNCHRONOUS
DECODER CIRCUIT

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

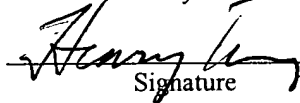
I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

January 15, 2003

Date of Deposit

Henry Tang

Attorney Name


Signature

29,705

PTO Reg. No.

January 15, 2003

Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

On November 13, 2001, applicants submitted the following documents in response to a Notification of Missing Requirement under 35 U.S.C. § 371 in the United States Designated/Elected Office mailed on July 26, 2001:

1. A Combined Declaration and Power of Attorney of applicants Martin Benes, Steven M. Nowick and Andrew Wolfe, executed in counterparts;
2. A surcharge for filing the oath or declaration later than 30 months from the priority date pursuant to 37 C.F.R. § 1.492(e); and

3. A two-month extension fee under 37 C.F.R. § 1.17(a)(2).

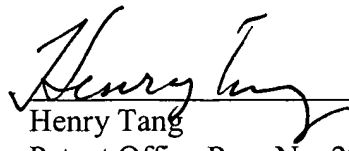
A copy of the Notification of Missing Requirements under 35 U.S.C. § 371 in the United States Designated/Elected Office was inadvertently omitted from applicants' November 13, 2001 submission. A postcard receipt included with applicants' filing on November 13, 2001 indicates that the filing included a Response to Notice of Missing Requirements comprising a check in the amount of \$265 and an executed Combined Declaration and Power of Attorney. A copy of the postcard receipt bearing the mailroom stamp of the United States Patent and Trademark Office, a copy of the \$265 check and a copy of the Combined Declaration and Power of Attorney filed on November 13, 2001, a copy of the Notification of Missing Requirements under 35 U.S.C. § 371 in the United States Designated/Elected Office mailed on July 26, 2001, as well as a copy of the Notification of Defective Response mailed on December 17, 2002 are enclosed herewith.

PATENT

Applicants respectfully request confirmation that a complete response to the Notification of Missing Requirements under 35 U.S.C. § 371 in the United States Designated/Elected Office was timely filed.

Respectfully submitted,

Dated: January 15, 2003


Henry Tang
Patent Office Reg. No. 29,705

BAKER BOTTS L.L.P.
30 Rockefeller Plaza
New York, New York 10112-4498

Attorney for Applicant
(212) 408-2586



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787,168	Martin Benes	A31978-PCT USA

INTERNATIONAL APPLICATION NO.
PCT/US98/20397

I.A. FILING DATE	PRIORITY DATE
09/30/1998	09/15/1998

Henry Tang
 BAKER BOTTS
 30 Rockefeller Plaza
 New York, NY 10112-0228

CONFIRMATION NO. 6099

371 FORMALITIES LETTER



OC00000009250846

Date Mailed: 12/17/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

BAKER BOTTS L.L.P.

DEC 26 PM 3:30

TO		
PS		

Applicant's response filed 07/12/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/26/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

Docketed

For 1/17/2003 by

PS

6/1/03

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/787,168	PCT/US98/20397	A31978-PCT USA

FORM PCT/DO/EO/916 (371 Formalities Notice)

In Re:	Benes et al.	09/787,168
	(Applicant)	(Serial No.)
In Re:	Response to Notice of	11/13/2001
	Missing Requirements*	A31978-
		PCT/USA
	(Title of Paper)	(Date)
		(File No.)

The stamp of the Patent Office Mail Room hereon
acknowledges the receipt of the above-identified papers
on the date indicated by such stamp.

*\$265 check & executed Combined Declaration and Power of Attorney



BAKER BOTTS L.L.P.
ATTORNEYS AT LAW
30 Rockefeller Plaza
44th and 45th floors
New York, New York 10112-0228

INQUIRIES: (212) 705-5000
TAXPAYER I.D. #74-1195457

Invoice No.	Date	Voucher	Gross Amount	Discount	Net Amount
I0055770	11/13/2001	A31978PCTUSA-HT 873809	265.00	0.00	265.00
Check No. 166102		Check Date 11/13/2001		Vendor No. 11135	
Vendor Name COMMISSIONER OF PATENTS AND			Total Amount 265.00		

Chase Bank, N.A.
New York, New York

BAKER BOTTS L.L.P.
ATTORNEYS AT LAW
New York Operating Account

CHECK # 166102
DATE 11/13/2001

1-2
210

\$ *****265.00

PAY TWO HUNDRED SIXTY-FIVE AND 00/100 Dollars

NOT NEGOTIABLE SIX MONTHS
FROM THE DATE OF ISSUE

TWO SIGNATURES REQUIRED OVER \$2500.00

TO THE ORDER OF
COMMISSIONER OF PATENTS AND
TRADEMARKS

